Pritchett, Brian PH/US

From:

Pritchett, Brian PH/US

Sent:

Wednesday, September 07, 2005 11:33 AM

To:

Kurys, Barbara PH/US

Subject:

HMR2021 US NP - Notice of Allowance memo to inventors

Hello Barbara,

Please note that there was some issues with the IDS and the Supp. IDS. I made a note at the bottom of the page in yellow can't miss it. Let me know how to proceed.

Thanks, Brian



INTERNAL MEMORANDUM

11 1 1 23 1 1 1 1 2 1	
Date:	September , 2005
To:	George E. Lee, John G. Jurcak, Timothy A. Ayers
From:	Barbara E. Kurys
Subject:	Notice of Allowance - HMR2021 US PCT
Copies:	Ross J. Oehler

We are pleased to report that we have now received a Notice of Allowance (copy enclosed) in the above-identified US Patent Application. We ask that you consider each of the issues raised below.

Issue Fee

The deadline for payment of the issue fee is three months from the date of mailing, i.e., payment is due on or before **November 30, 2005**. This deadline is non-extendible. Failure to pay the Issue Fee will result in abandonment.

In the absence of instructions to the contrary, we will pay the issue fee on or shortly before the deadline.

Assignment (check as applicable)

The application has been recorded as having been assigned as follows:

Inventors/

Assignors:

George E. Lee

John G. Jurcak Timothy A. Ayers

Assignee:

Aventis Pharmaceuticals Inc.

Recorded:

October 10, 2003

Reel/Frame:

014040/0904

Any desired change in Assignment status should be effected before the issue fee is paid, or additional fees will be incurred.

Formal Drawings

Formal drawings are not required.

Examiner's Amendment and Statement for Reasons of Allowance

[Summarize where applicable or state not applicable]

We have the following additional comments.

[Include comments, if any, plus:]

Any amendments or objections must be filed not later than the payment of the issue fee to assure their consideration.

Foreign Priority

Priority has not been claimed.

Please contact us immediately if this is in error.

Domestic Priority

This application is a 371 of PCT/US00/24949 filed September 13, 2000, which claims benefit of 60/229,356, filed September 13, 1999, which is now abandoned.

Please contact us if there is any error vis-a-vis domestic priority.

Declaration

We have checked the last rule 1.63 declaration and it appears to be in order.

Claims and Specification

If you believe that any additional claims are desirable, please let us know immediately so we can determine whether the proposed claims are patentable, and whether they are better presented by amendment or by a continuation application.

[We wish to note that the claims were narrowed during prosecution. Specifically, we call your attention to the amendments filed , limiting claim(s) to explain substance of limitation].

Please also check the specification once more for errors.

Continuation/Divisional Applications [as applicable]

We note that claim(s) were canceled during the prosecution of this application [due to a restriction requirement imposed by the Examiner]. We should now consider whether the cancelled/non-elected claims should be filed in a continuing/divisional case. Such an application must be filed before the issuance of the "parent case" to establish co-pendency. To ensure co-pendency, we recommend that the continuation/divisional case be filed when the issue fee is paid for the present application. Please contact us before the issue fee due date to discuss whether a continuation/divisional case should be filed.

Duty of Disclosure

According to our records the PTO-1449s enclosed with the IDS filed August 15, 2005 have been initialed A Supplemental IDS filed August 25, 2005, have not been initialed, indicating their review by the Examiner. [[if not initialed] On , we filed a communication with the PTO to remedy this. After allowance, additional "material information" will be considered by the PTO only if newly discovered (e.g., through citation by a foreign patent office), and then only by petition.

After payment of the issue fee, the principal recourse is to petition to withdraw the application and then file a continuation under rule 1.53.

Please let us know immediately if you have any information which should be disclosed.

Barbara - Please note that we sent them the IDS on 8/15/05 and the Supp. IDS on 8/25/05 - Yet on the Notice of Allowance they only initialed the 8/15/05 Submission. Should we word the IDS different than is done now. Please let me know your input and I will gladly make amends. I hanks Brian

Best Regards Barbara E. Kurys

BEK/BSP





UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark O Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

EXAMINER

SACKEY, EBENEZER O

E AND FEE(S) DUE NOTICE OF ALLOW

005487

7590

08/30/2005

ROSS J. OEHLER

AVENTIS PHARMACEUTICALS INC.

ROUTE 202-206

MAIL CODE: D303A

BRIDGEWATER, NJ 0880

FIRST NAMED INVENTOR

ATTORNEY DOCKET NO.

BE K

ART UNIT

1626

DATE MAILED: 08/30/2005

CONFIRMATION NO.

PAPER NUMBER

APPLICATION NO.

12/23/2002

10/088.369

George E. Lee

HMR 2021 US PCT

1765

TITLE OF INVENTION: THIENOISOXAZOLE PHENOXY UNSUBSTITUTED ETHYL AND PROPYL DERIVATIVES USEFUL AS D4 ANTAGONISTS

APPLN, TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$1400	11/30/2005

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now ... claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.



PART B - FEE(S) TRANSMITTAL



Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571) 273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDEN	CE ADDRESS (Note: Use Block I for	any change of address)		Ņ	Note: A certificate o	f mailing can only be used for	or domestic mailings of the
				Ę	apers. Each addition	his certificate cannot be used that paper, such as an assignmente of mailing or transmission.	ent or formal drawing, must
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ROSS J. OEHLE				ī	hereby certify that t	rtificate of Mailing or Trans	smission a denosited with the United
ROUTE 202-206	MACEUTICALS INC.			Š	States Postal Service	with sufficient postage for fir	st class mail in an envelope
MAIL CODE: D3	N3.A			a t	ransmitted to the US	his Fee(s) Transmittal is bein with sufficient postage for fir til Stop ISSUE FEE address PTO (571) 273-2885, on the o	date indicated below.
BRIDGEWATER							(Depositor's name)
							(Signature)
				Ĺ			(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED	INVENT	OR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/088,369	12/23/2002		George I	E. Lee		HMR 2021 US PCT	1765
TITLE OF INVENTION: 1	HIENOISOXAZOLE PHEN	OXY UNSUBSTI	TUTED ETHY	YL AND	PROPYL DERIVA	TIVES USEFUL AS D4 ANT	AGONISTS
APPLN, TYPE	SMALL ENTITY	ISSUE FI	EE T	PUE	BLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
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	dence address (or Change of	Correspondence	(1) the nam or agents O		to 3 registered pate atively.	·	
Address form PTO/SB/1	.22) attached.		(2) the nam	ne of a si	ngle firm (having as		
"Fee Address" indica	ation (or "Fee Address" Indica or more recent) attached. Use	ation form	registered a	attorney	or agent) and the na- attorneys or agents. I	mes of up to f no name is 3	
Number is required.	of more recent, attached. Os	e or a Customer	listed, no na	ame will	be printed.		-
	O RESIDENCE DATA TO B						
PLEASE NOTE: Unles recordation as set forth i	s an assignee is identified be n 37 CFR 3.11. Completion	elow, no assignee of this form is NO	data will appe I a substitute f	ar on the	e patent. If an assig an assignment.	nee is identified below, the o	document has been filed for
(A) NAME OF ASSIGN					and STATE OR CO		
· /		·		,			
Please check the appropriat	e assignee category or catego	ries (will not be pr	inted on the pa	atent):	☐ Individual ☐ (Corporation or other private gr	roup entity Government
4a. The following fee(s) are	enclosed:	46	. Payment of F	Fee(s):		•	-
☐ Issue Fee			A check in	n the am	ount of the fee(s) is e	enclosed.	
Dublication Fee (No	small entity discount permitte	ed)	Payment b	by c re dit	card. Form PTO-203	38 is attached.	
Advance Order - # c	of Copies		The Direct	ctor is he	ereby authorized by	charge the required fee(s), or (enclose an extra o	credit any overpayment, to
5. Change in Entity Status	s (from status indicated above	:)				· · · · · · · · · · · · · · · · · · ·	
a. Applicant claims S	SMALL ENTITY status. See	37 CFR 1.27.				ALL ENTITY status. See 37 C	
The Director of the USPTC NOTE: The Issue Fee and I interest as shown by the rec	o is requested to apply the Iss Publication Fee (if required) vords of the United States Pate	ue Fee and Publicat will not be accepted ent and Trademark	tion Fee (if any I from anyone Office.	y) or to re other tha	e-apply any previous in the applicant; a re	sly paid issue fee to the applic gistered attorney or agent; or t	ation identified above. he assignee or other party in
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Typed or printed name					Registratio	n No	
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an application. Confidentia submitting the completed a this form and/or suggestion Box 1450, Alexandria, Virginia, V	lity is governed by 35 U.S.C application form to the USPT is for reducing this burden, sl ginia 22313-1450. DO NOT	. 122 and 37 CFR O. Time will vary hould be sent to the SEND FEES OR (n is required to 1.14. This coll depending up to Chief Inform COMPLETED	lection is lection is on the ir nation Of FORMS	estimated to take 12 dividual case. Any of ficer, U.S. Patent and TO THIS ADDRES	minutes to complete, includicomments on the amount of to d Trademark Office, U.S. Dep SS. SEND TO: Commissioner	ing gathering, preparing, and time you require to complete partment of Commerce, P.O. for Patents, P.O. Box 1450,
Alexandria, Virginia 22313	-1430.					t displays a valid OMB contro	



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/088,369	12/23/2002	George E. Lee	HMR 2021 US PCT	1765
005487	08/30/2005	(A 80)	EXAM	INER
ROSS J. OEHLE	ER MACEUTICALS INC.	(TA) (TO) (TO)	SACKEY, EE	BENEZER O
ROUTE 202-206		10 10 10	ART UNIT	PAPER NUMBER
MAIL CODE: D3 BRIDGEWATER		EP, S	1626	
BRIDGEWATER	, 143 00007	E C C S & 1 (1 9) 5 . 70 . 5'	DATE MAILED: 08/30/200:	5
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Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 284 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 284 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571) 272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

			W/
	Application No.	Applicant(s)	
,	10/088,369	LEE ET AL.	!
Notice of Allowability	Examiner	Art Unit	
	EBENEZER SACKEY	1626	
The MAILING DATE of this communication and All claims being allowable, PROSECUTION ON THE MERITS herewith (or previously mailed), a Notice of Allowance (PTOL-NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT of the Office or upon petition by the applicant. See 37 CFR 1.3	IS (OR REMAINS) CLOSED in the state of the s	his application. If not included ication will be mailed in due co	ourse. THIS
1. This communication is responsive to <u>amendment filed 0</u>	0 <u>6/20/05</u> .		
2. The allowed claim(s) is/are claims 1-77, 80-83, 92-97 at	nd 104-109, now claims 1-93 resp	oectively.	
3. \square The drawings filed on $___$ are accepted by the Exam	iner.		
4. ☐ Acknowledgment is made of a claim for foreign priority a) ☐ All b) ☐ Some* c) ☐ None of the: 1. ☐ Certified copies of the priority documents h	ave been received.		
2. Certified copies of the priority documents h			n from the
 Copies of the certified copies of the priority International Bureau (PCT Rule 17.2(a)). 	documents have been received i	n triis national stage applicatio	in iroin the
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DAT noted below. Failure to timely comply will result in ABANDO THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		reply complying with the requi	irements
5. A SUBSTITUTE OATH OR DECLARATION must be su INFORMAL PATENT APPLICATION (PTO-152) which the substitution of the substitutio			TICE OF
6. CORRECTED DRAWINGS (as "replacement sheets") r	must be submitted.		
(a) ☐ including changes required by the Notice of Draftsp	person's Patent Drawing Review (PTO-948) attached	
1) hereto or 2) to Paper No./Mail Date			
(b) ☐ including changes required by the attached Examir Paper No./Mail Date	ner's Amendment / Comment or in	the Office action of	
Identifying indicia such as the application number (see 37 CF each sheet. Replacement sheet(s) should be labeled as such	R 1.84(c)) should be written on the in the header according to 37 CFR	drawings in the front (not the b. 1.121(d).	ack) of
DEPOSIT OF and/or INFORMATION about the deattached Examiner's comment regarding REQUIREMENT			te the
Attachment(s) 1. ☐ Notice of References Cited (PTO-892) 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-94) 3. ☑ Information Disclosure Statements (PTO-1449 or PTO/S Paper No./Mail Date 8/17/05) 4. ☐ Examiner's Comment Regarding Requirement for Depos of Biological Material	8) 6. Interview Sun Paper No./M BB/08), 7. Examiner's A sit 8. Examiner's Si	rmal Patent Application (PTO- nmary (PTO-413), ail Date mendment/Comment tatement of Reasons for Allow	,
union you			



PTO/SB/08A (10-96)
Approved for use ...gh 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid QMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of

Complete if Known							
Application Number	10/088,369						
Filing Date	12-23-2002						
First Named Inventor	LEE, et. al.						
Group Art Unit	1626	-1,-					
Examiner Name	SACKEY, Ebenezer O.						
Attorney Docket Number	USHMR2021 - US - PCT						

				U.S. PATENT DOCL	JMENTS	
Examiner Initials	Cite No.1			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
3		4,075,227		JONES, et. al.	02-21-1978	
		4,085,114		ADACHI, et. al.	04-18-1978	
		4,355,037		STRUPCZEWSKI, et. al.	10-19-1982	
		4,408,053		STRUPCZEWSKI, et. al.	10-04-1983	
		4,408,054		STRUPCZEWSKI, et. al.	10-04-1983	
		4,427,691		SHUTSKE, et. al.	01-24-1984	
		4,452,804		SHUTSKE, et. ಚ.	06-06-1984	
		4,469,869		STRUPCZEWSKI, et. al.	09-04-1984	
		4,504,669		SHUTSKE, et. al.	03-12-1985	
		4,528,376		STRUPCZEWSKI, et. al.	07-09-1985	
		4,644,064		SHUTSKE, et. al.	02-17-1987	
		4,728,651		ONG, et. al.	03-01-1988	
		4,769,472		ONG, et. al.	09-06-1988	
		5,254,595		GUZZI, et. al.	10-19-1993	
		5,321,037		NAGANO, et. al.	06-14-1994	
		5,340,833		BRIDGES, et. al.	08-23-1994	
		5,593,994		BATT, et. al.	01-14-1997	
		5,696,113		PALERMO, et. al.	12-09-1997	
		5,843,940		CULLINAN, et. al.	12-01-1998	
8		5,856,503		AEBI, et. al.	01-05-1999	

	FOREIGN PATENT DOCUMENTS									
Examiner Initials	Cite No.1	Office	Foreign Patent Do Number ⁴	cument Kind Code ^s (# known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Pessages or Relevant Figures Appear	Τø		
6		EP	0058977		SHUTSKE, et. al.	09-01-1982		1		
Ez		EP	0221414		ONG, et. al.	05-13-1987				
13		EP	0729955		CULLINAN, et. al.	09-04-1996				
3.6		WO	01/19833		FINK, et. al.	03-22-2001				
ES		wo	97/28115		ADAMS, et. al.	08-07-1997				
J		GB	1,269,776		SMITH, et al.	04-06-1972		-		

Examiner	10 1	Date 7 1 -
Signature	the sous day	Considered \$/ > 1/05

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Sheet

5

PTO/SB/08A (10-96)
Approved for use 2011 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. PARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

, Substitute for form 1449A/PTO

2

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Complete if Known						
Application Number	10/088,369					
Filing Date	12-23-2002					
First Named Inventor	LEE, et. al.					
Group Art Unit	1626					
Examiner Name	SACKEY, Ebenezer O.					
Attorney Docket Number	USHMR2021 - US - PCT					

U.S. PATENT DOCUMENTS								
Examiner Cite Initials No.1 Number (If known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
17		5,932,586		BATT, et. al	08-03-1999			
B		5,225,414		HENNING, et. al.	07-06-1993			
6		5,852,022		PALERMO, et. al.	12-28-1998			
75		5,965,554		PALERMO, et. al.	10-12-1999			
F		6,103,724	•	LASZLOVSZKY, et. al.	08-15-2000			
15		6,121,293		CULLINAN, et. al.	09-19-2000			
3		6,008,348		PALERMO, et. al.	12-28-1999			
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				FORE	IGN PATENT DOCUMENT	rs		
Examiner Initials	Cite	F	oreign Patent Do		Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant	Т
	No.1	Office ³	Number ⁴	Kind Code ⁸ (# Imawn)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	Τs
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Signature	acorpored adam	Considered 3/51/69

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

[&]quot;EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw tine through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁸ Applicant is to place a check mark here if English tanguage Translation is attached.

Pto/sb/08B (10-96)
Please type a plus sign (+) inside this box
Approved for use 19 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

STATEMENT BY ADDITIONAL

Complete if Known **Application Number** 10/088,369 12-23-2002 Filing Date First Named Inventor LEE, et. al. **Group Art Unit** 1626 **Examiner Name** SACKEY, Ebenezer O. **USHMR2021 - US - PCT Attorney Docket Number**

(use as many sheets as necessary) Sheet of 5 3

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
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B		ASGHARI, V., et. al., Dopamine D4 Receptor Repeat: Analysis of Different Native and Mutant Forms of the Human and Rat Genes, Molecular Pharmacology Vol. 46, pp. 364-373 (1994)		
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